

Intro to Unit 6 Regulating Markets

UNIT DESCRIPTION

This unit will provide you with the knowledge and understanding of the procedures and processes which market surveillance officers use to regulate the market in goods.

WHAT TO EXPECT

Courses are offered each year for this and all Units. You will be taught in line with the unit learning outcomes. It is also expected that you will undertake your own learning, by ensuring you are familiar with all areas shown in the unit syllabi.

Qualification Framework courses will use different learning delivery methods. You will have two classroom-based days with the trainer. Access to an on-line portal that provides you with on-going detailed course notes, inter block assessments plus remote sessions, with the trainer and other trainees.

In addition to this, the trainer may choose to provide, via the on-line portal, videos or other learning materials, as they deem necessary; these additional learning materials will be provided throughout the duration of the course.

You are expected to complete all assessments/assignments, as notified to you, by your trainer. You will upload them for marking, by the designated deadline.

Portfolio: You will complete the associated portfolio and demonstrate the skills requirement for this subject. You may not take the professional interview examination if the portfolio is not submitted.

Professional Interview: This is a 20 minute professional interview (20minute exam with 10minutes additional reading time). Your interview will be monitored by a separate moderator to ensure consistency within marking.

UNIT INFO

Assessment types & deadlines:

Portfolio (final submission deadline April)

Professional Interview (20min exam with 10minutes additional reading time)

Resources information:

Portfolio guidance

Portfolio Matrixes area

Previous Examiner reports

Unit designated learning hours:

200 hours (delivered by both training and self-learning hours)

Qualifications Team info:

Available Mondays to Fridays.
9am to 4pm

Team members

Sue Steward – Head of Education & Training

Richard Cowles - Team Executive

Marianne Rickwood - Coordinator

Rebecca Taylor – Coordinator

Alex Jones – Education Assistant

Sophia Robinson – Education

Assistant

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Intro to Unit 6 Regulating Markets

CLASSIFICATION OF MARKS

All examinations are marked out of 100% and with a pass mark of 40%.

On passing an examination/coursework you will be graded with the following classifications: Distinction, Merit and Pass. All effort should be made to gain the highest mark possible throughout the examining process.

Classification	Marks
Distinction	70-100%
Merit	60-69%
Pass	40-59%
Fails	0-39%

QUALIFICATION FRAMEWORK REGULATIONS AND POLICIES

All forms of assessment fall within strict regulations and you must ensure you understand and adhere to all regulation requirements.

The regulations that cover all aspects of the Qualification Framework qualifications can be found on our website:

<https://www.tradingstandards.uk/practitioners/training-development/qualifications-resources#stage2info>

Please ensure you are familiar with all aspects within the regulations, taking particular notice of any area relating to examinations, coursework and deadlines.

CTSI will publish announcements surrounding the Qualification Framework qualification from time to time. These are found here:

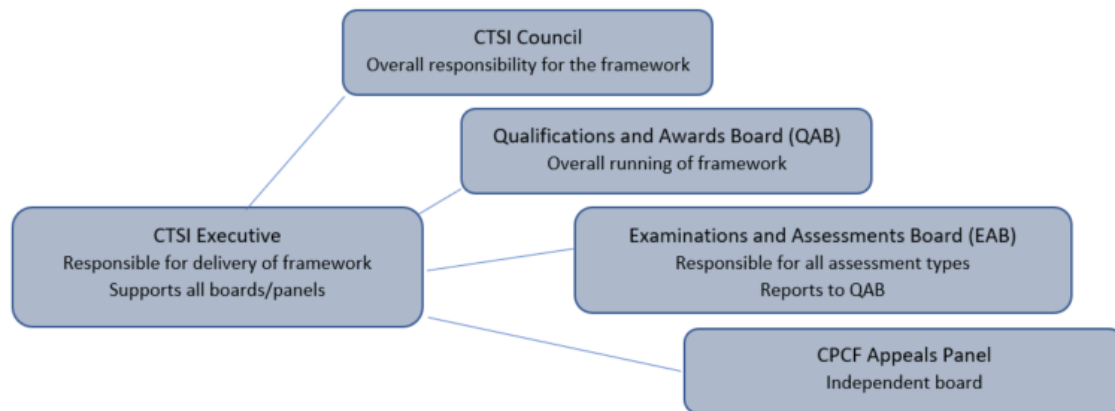
<https://www.tradingstandards.uk/practitioners/training-development/qualifications-resources#announcementsupdates>

It is important that you are aware of any notifications provided by CTSI throughout the year to ensure you are meeting any/all requirements that the Executive or the Qualifications and Awards Board have put out.

GOVERNANCE

For more detailed information on the governance of the Qualification Framework, you will find this within the regulations. Below outlines the governance structure approved by CTSI Council.

Intro to Unit 6 Regulating Markets



UNIT SYLLABI

NOTE: The legislation outlined in this syllabus is not an exhaustive list. Candidates will be required to answer questions in accordance with this syllabus.

The examiners may expect candidates to show knowledge of legislation which is in place but not in force, i.e. has been enacted and regulations which have been made which are not yet in force if they are directly relevant to the subject matter of the examination.

Learning Outcomes:

At the end of this module, the student will be able to demonstrate:

Auditing

- Principles of auditing and control measures (including HACCP)
- ISO 9001

Regulating Markets

- The function of market surveillance, both internally and at points of entry into the UK;
- Understand modern markets, their operation; including use of internet and social media.
- The roles and responsibilities of authorities.
- The organisational framework for market surveillance including methods of information collection, recording and dissemination.
- The limitations on market surveillance powers when they conflict with the functioning of the single market.

Skills

- RIPA, in relation to on-line activities.

Intro to Unit 6 Regulating Markets

Indicative areas of study

The range, function and extent of legal controls, together with relevant guidance, which relate to the market surveillance of product safety.

The following terms have been used to indicate the level of knowledge required in each element;

Detailed: To an in-depth level, and with a fine degree of distinction between concept

Working: With the ability to apply the learning to situations so as to resolve problems

Basic: Having an awareness of the organisations and concepts

Assessments will reflect the requisite level of knowledge in each given area of the syllabus.

Intro to Unit 6 Regulating Markets

Syllabus

Detailed knowledge of:

- Understand and apply the concept of 'hazard', 'risk' and in relation to a products and services and be able to carry out risk assessment using existing protocols.
- An understanding of risk management protocols in securing compliance.
- Identification of potential hazards and defects, risk assessment and correction of these, throughout the products lifecycle (including design and end of life).
- Production control and quality assurance methodologies, including the ISO 9000 series.
- The concepts of product risk, process risk and compliance risk.
- The concept of corrective actions and market surveillance powers to require it.
- Simple product examination and screen testing to ascertain compliance with product legislation.
- An understanding of the concept of production critical control points and process control in mass production systems.
- The structure of and functioning of the market surveillance system including the role of national market surveillance agencies.
- The role and function of ports and borders control on the importation of goods from third countries, and inland market surveillance obligations in relation to this.

Working knowledge of:

- Determination of the options and the best course of corrective action where a product or service is found to breach legal obligations.
- Understand the legal basis and practical enforcement processes for controlling the sales of age restricted goods.
- Understand and interpret the contents of a documents produced in support of conformity or compliance.
- The mechanisms for collection of market surveillance data, and the process of developing intelligence product for use informing market surveillance strategy and tactics, including the allocation of resources and prioritisation of interventions.
- The limitations on market surveillance powers when they conflict with the functioning of the single market.
- Methods of information collection and exchange and the national and international picture.
- Investigations into products sold via e-commerce (both formal and informal) and the legal constraints on the collection of certain types of information.
- The principle of mutual recognition and the regulation that underpins it.

Basic knowledge of:

- The organisational and legal structures controlling the accreditation of organisations and test laboratories.
- Government policy, guidance, reports, practice and papers relevant to this subject area.

Reference documents

IMP-MSG Risk Assessment Model

PAS 7100 Code of Practice on Consumer Product Safety Related Recalls and Other Corrective Actions